PTO/SB/05 (03/01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Attorney Docket Number | SHPR-01361USD

| UTILITY  |   |            | omey booker ramber  |                          |  |                                 |                      |
|--|---|------------|---|--------------------------|--|---------------------------------|----------------------|
| PATENT APPLICATION Inver   |   |            | Shek Fai Lau  |                          |  |                                 |                      |
| TRA  | ANSMITTAL   | Title      | Electro-Kin tic Air Transporter and Conditioner Devices with Features that Compensate for Variations in Line Voltages |                          |  |                                 |                      |
| (Only for new nonprovis  | ional applications under 37 CFR 1.53(b))  | Expres     | ss Mail La  | abel No.                 | EV 326 481 5   | 50 US                           |                      |
|  | ICATION ELEMENTS 0 concerning Utility Patent Application Cont                                 |            |   | N                        | Commissioner fo<br>Mail Stop Patent<br>Nexandria, VA               | Application                     |                      |
|  | mittal Form (PTO/SB/17)<br>e for fee processing)  |            | 7.  |                          | or CD-R in duplic<br>program (Appen                                | . •                             | able or              |
| 2. Applicant (See 37 CF  | claims small entity status<br>R 1.27)   |            | 8.  |                          | and/or Amino A   | Acid Sequen                     | ce Submission        |
|  | ment set forth below)   |            |   |                          | omputer Readab<br>cation Sequence                                  |                                 | RF)                  |
| ■ Cross Ref  | e Title of the invention<br>erence to Related Applications<br>t Regarding Fed Sponsored R & D |            |   |                          | CD-ROM or CD   | -R (2 copies                    | s); or               |
| ■ Reference  | e to Sequence Listing, a table or program listing Appendix                                    |            |   |                          | paper<br>atements verifyi  | ng identity o                   | f above              |
| ■ Backgroui  | nd of the Invention   | Г          | AC  |                          | YING APPLIC  |                                 |                      |
| <ul><li>Brief Desc</li></ul>   | mary of the Invention<br>cription of the Drawing(s) (if filed)<br>Description                 |            | 9.  | Assignmer<br>_ Total Pag | nt Papers (Cove  | er Sheet & De                   | ocument(s))          |
| Claim(s) _   | •   |            | 10.   | 37 CFR 3.                | 73(b) Statemen<br>is an assignee)                                  | t 🗆                             | Power of<br>Attorney |
| 4. Drawing(s   | ) (35 USC 113) Total Sheets 13  |            | 11.   | _ <u>-</u>               | anslation Docur  | ment (if appli                  | icable)              |
| 5. Oath or Declara   | tion Total Pages <u>4</u>   |            | 12. 🗹   |                          | n Disclosure Sta   |                                 | 0 IDS                |
| <u> </u>   | /ly Executed  | 2011       | 13.   |                          | O-1449) <u>9</u> Tota<br>ry Amendment _                            |                                 | Citations            |
| (for c   | y from a prior application (37 CFR 1.6 continuation/divisional with Box 18 complete           |            | 14. 🔽   | Return Po                | stcard, specifica  | ally itemized                   | (MPEP 503)           |
| i. 🗹   | DELETION OF INVENTOR(S) Signed statement attached deleting invent                             |            | 15.   | Certified C              | Copy of Priority (   | •                               |                      |
|  | named in the prior application, see 37 CFI 1.63(d)(2) and 1.33(b) 2 Total Pages               | 8          | 16.   | Nonpublic                | <i>riority is claimed)</i><br>cation Request u<br>3)(i). Applicant | inder 35 US                     | C<br>form            |
| 6. ✓ Applicatio 3 Total F  | n Data Sheet. See 37 CFR 1.76   |            | 17.   |                          | 35 or its equival  |                                 | Юпп                  |
|  | G APPLICATION, check appropriate box  | x and supp | oly the regi  |                          | ion below and in a   | preliminary an                  | nendment, or in      |
| an Application Data Sheet under 37 CFR 1.76:  Continuation Data Sheet under 37 CFR 1.76:  Continuation Data Sheet under 37 CFR 1.76:   |   |            |   |                          |  |                                 |                      |
| Prior application  |   | •          |   |                          |  |                                 |                      |
| Prior application information: Examiner: Unknown Group/Art Unit: Unknown  For Continuation or Divisional Apps only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. |   |            |   |                          |  | ed under Box 5b,<br>erence. The |                      |
| 19. CORRESPONDENCE ADDRESS   |   |            |   |                          |  |                                 |                      |
| Customer Number 23910 or Correspondence Address below  |   |            |   |                          |  |                                 |                      |
| NAME   | Fliesler Dubb Meyer & Lovejo  | y LLP      |   |                          |  |                                 |                      |
| Four Embarcadero Center, Fourth  |   | ourth F    | loor  |                          |  |                                 |                      |
| ADDRESS  |   |            |   |                          |  |                                 |                      |
| CITY   | Carritanologo   | ATE        |   | A                        | ZIP COD  |                                 | 4111                 |
| COUNTRY  | USA TELEPHOI  | NE         | 415/36  | 2-3800                   | FAX  | 415/362                         | 2-2928               |
| Name (Print/Type)  | Jeffrey R. Kurin  |            |   | Registration             | No. (Attorney/Age  | ent) Z                          | 11,132               |
| Signature  | TIDAK   |            |   |                          | Date   | Sentemb                         | er 15, 2003          |

|                   | l .              |           |           |                 |                  |        |               |   |
|-------------------|------------------|-----------|-----------|-----------------|------------------|--------|---------------|---|
| CITY              | San Francisco    | STATE     |           | CA              | ZIP COD          | Œ      | 94111         |   |
| COUNTRY           | USA              | TELEPHONE | 415/3     | 362-3800        | FAX              | 415/3  | 62-2928       | _ |
| Name (Print/Type) | Jeffrey R. Kurin |           |           | Registration No | D. (Attorney/Age | ent)   | 41,132        | _ |
| Signature         | III.             | K         | $\supset$ |                 | Date             | Septer | mber 15, 2003 | _ |

PTO/SB/17 (10/02) (modified)
Approved for use through 04/30/2003, OMB 0651-0032
Trademark Office: US DEPARTMENT OF COMMERCE

# 2003

## **FEE TRANSMITTAL**

TOTAL AMOUNT OF PAYMENT
Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$) 918.00

|   | Faterit and Trad       | ETHAIR OFFICE, OS DEPARTIVIENT OF COMMERCE |  |  |  |
|---|------------------------|--|--|--|--|
|   | Complete if Known      |  |  |  |  |
| Application Number Division of 10/625,401 |                        |  |  |  |  |
|   | Filing Date            | September 15, 2003                         |  |  |  |
| Inventor                                  |                        | Shek Fai Lau                               |  |  |  |
|   | Group Art Unit         | Unknown                                    |  |  |  |
|   | Examiner Name          | Unknown                                    |  |  |  |
|   | Attorney Docket Number | SHPR-01361USD                              |  |  |  |

| METHOD OF   | PAYMENT  | <u> </u>                     | FEE CAI                     | LCULAT  | ION (continue                               | ed)                         |              |
|---|--|------------------------------|-----------------------------|---|---|-----------------------------|--------------|
| 1. The Commissioner is h  | 3. ADDITIONA   |                              |                             |   |   |                             |              |
| Charge the indicated mentioned deposit a  | Large Entity<br>Fee Code/Fee   | Small Entity<br>Fee Code/Fee | Fee Descr                   | iption  |   | Fee Due                     |              |
|   | 1051/ <b>\$130</b>   | 2051/ <b>\$65</b>            | Surcharge                   | - late filing fee or                          | oath  |                             |              |
|   | al fee required under 37<br>redit any over payments<br>ned denosit account † | 1052/ <b>\$50</b>            | 2052/ <b>\$25</b>           | Surcharge                                     | -late provisional fil                       | ing fee or cover sl         | heet         |
| Applicant claims sma  | •  | 1812/ <b>\$2,520</b>         | 1812/ <b>\$2,520</b>        | For filing a                                  | request for reexa                           | mination                    |              |
| See 37 CFR 1.27.  | 1251/\$110   | 2251/ <b>\$55</b>            | Extension                   | for response within                           | n first month <sup>†</sup>                  |                             |              |
|   |  | 1252/\$410                   | 2252 <b>\$205</b>           | Extension                                     | for response within                         | n second month <sup>†</sup> |              |
| Deposit Account Number: 06  |  | 1253/ <b>\$930</b>           | 2253/ <b>\$465</b>          | Extension                                     | for response within                         | n third month <sup>†</sup>  |              |
| Deposit Account Name: Flies   | sier Dubb Meyer & Lovejoy  | 1254/ <b>\$1,450</b>         | 2254/ <b>\$725</b>          | Extension                                     | for response within                         |                             |              |
| 2 V Boymont Englan  |  | 1255/ <b>\$1,970</b>         | 2255/\$985                  | Extension                                     | for response within                         |                             |              |
| 2. X Payment Enclos [X] Check [   | J Other  | 1401/ <b>\$320</b>           | 2401/\$160                  | Notice of A                                   | Appeal                                      |                             |              |
| FEE CALCULATION  1. FILING FEE  |  | 1453/ <b>\$1,300</b>         | 2453/ <b>\$650</b>          | Petition to application                       | revive unintention                          | ally abandoned              |              |
| Large Entity Small Entity Fee Cod/Fee Fee Code/Fee  | Fee Fee<br>Description Due   | 1501/ <b>\$1,300</b>         | 2501/ <b>\$650</b>          | Utility Issu                                  | e Fee (Or Reissue                           | )                           |              |
| 1001/\$750 2001/\$375   | Utility Filing 750   | 1502/ <b>\$470</b>           | 2502/ <b>\$235</b>          | Design Iss                                    | ue Fee                                      |                             |              |
| 1002/\$330 2002/\$165   | Design Filing  | 1460/ <b>\$130</b>           | 1460/ <b>\$130</b>          | Petitions to                                  | o the Commission                            | er                          |              |
| 1004/\$750 2004/\$375   | Reissue  | 1814/\$110                   | 2814/\$55                   | Statutory [                                   | Disclaimer                                  |                             |              |
|   |  | 1806/ <b>\$180</b>           | 1806/ <b>\$180</b>          | Submissio                                     | nission of Information Disclosure Statement |                             |              |
| 1005/\$160 2005/\$80  | Provisional Filing   | 8021/ <b>\$40</b>            | 8021/\$40                   |   | each patent assig                           |                             | ty 🗀         |
| SUBTOTA  2. CLAIMS  | L (1) (\$)750  | 1809/ <b>\$750</b>           | 2809/ <b>\$37</b> 5         | •   | bmission after fina                         |                             |              |
|   |  | 1801/\$750                   | 2801/ <b>\$37</b> 5         | ·   |   | ination (DCE)               |              |
| Large Entity Fee Code/Fee Fee Code/Fee  | Fee Description  | 1001/4/30                    | 2001/ <b>\$3/</b> 3         | Requestit                                     | or Continued Exam                           | imation (RCE)               |              |
| 1202/\$18 2202/\$9  | Claims in excess of 20   |                              | Other fee (specify):        |   |   |                             |              |
| 1201/\$84 2201/\$42   | Independent claims in excess of 3  |                              | Other fee (specify):        |   |   |                             |              |
| 1203/ <b>\$280</b> 2203/ <b>\$140</b>   | Multiple dependent claim   |                              |                             |   | SUE   | STOTAL (3)                  | \$) 0        |
| 1204/\$84 2204/\$42   | Reissue independent claims over original patent                              | (Col. 1)<br>No. of           | (Col. 2)<br>Highest         |   | (Col. 3)                                    |                             | ,<br>Fee     |
| 1205/\$18 2205/\$9  | Reissue claims in excess   | For Existing Claims          | Previou<br>Paid For         | <u>r                                     </u> | Extra**                                     | Fee                         | Due          |
|   | of 20 and over original<br>patent  | TOTAL 17                     | minus* 20 or<br>minus* 3 or | _ =   |   | x 0 :<br>x 84 :             | = 0<br>= 168 |
|   |  |                              | entation of multiple depend | dent claim                                    |   |                             | = 0          |
| * Subtract the greater number of Col. 2 SUBTOTAL (2) (\$) 168  ** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3 |  |                              |                             |   |   |                             |              |
| SUBMITTED BY  |  |                              | Complete (If applicable)    |   |   |                             |              |
| Typed or Printed Name   | Jeffrey R. Kurin   |                              |                             |   | Reg. Number                                 | 41,                         | 132          |
| Signature   | iffy R. Ka   |                              |                             |   | Date  | September 1                 | 5, 2003      |

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor:

Shek Fai Lau

Appl. No.: Filed:

Division of 10/625,401

September 15, 2003

Title: Electro-Kinetic Air Transporter and Conditioner

Devices with Features that Compensate for

Variations in Line Voltage

PATENT APPLICATION

Art Unit:

Unknown

Examiner:

Unknown

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Alexandria, VA 22313-1450, on September 15, 2003.

(Attorney Signature)

Jeffrey R. Kurir, Reg. No. 41,132 Signature Date: <u>September 15, 2003</u>

### STATEMENT REQUESTING DELETION OF INVENTOR(S) **UNDER 37 CFR §1.63(d)**

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The present above-captioned application is a divisional application. A copy of an executed declaration filed in a prior application, for which priority is claimed, is enclosed herewith, as allowed under 37 CFR §1.63(d)(1). Less than all the persons named as inventors in the declaration filed for the prior application should be named in the present application.

STATEMENT: In accordance with 37 CFR §1.63(d)(2), the deletion of the following names, which were listed on the executed declaration, is requested. This deletion is requested because these persons are not inventors of the claimed invention of the present application:

- (1) Charles E. Taylor
- (2) Andrew J. Parker
- (3) Greg S. Snyder
- (4) Edward C. McKinney, Jr.

In accordance with 37 CFR 1.33(a), the undersigned, making the above STATEMENT.

is:

| <u>X</u> | A registered attorney or agent of record appointed under 37 CFR §1.34(b), or acting in a representative capacity under 37 CFR §1.34(a). |  |  |  |
|----------|---|--|--|--|
|          | An assignee as provided for under 3   | 37 CFR §3.71(b).                         |  |  |
|          | No fee is required.   | ·  |  |  |
|          |   | Respectfully submitted,                  |  |  |
| Date     | September 15, 2003  | Jeffrey R. Kurin Registration No. 41,132 |  |  |

Fliesler Dubb Meyer & Lovejoy LLP Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156 415/362-3800 Telephone 415/362-2928 Fax